

# Hydrothermal carbonization (HTC) of marine plastic debris

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## Abstract

Once removed from the sea, some plastics cannot be recycled or reused. This debris has high calorific value what makes them suitable for using as fuel. For this reason, hydrothermal carbonization of a mixture of plastic materials was carried out using seawater as solvent, to examine the characteristics of the final products obtained and to test the feasibility in converting marine plastic debris to fuel. Results showed that an increase in the temperature of the process reduces the content of the inorganic anions and increases the NCV of the hydrochar. In addition, thermobalance was used to look for differences in the thermal decomposition of the different solid residues, being hydrochar at 300 °C the most affected material. The content of inorganic compounds in the HTC-liquor increases as the process temperature grows. Amides, alcohols and alkanes were the main organic compounds found in all cases. Gases emissions also increased with temperature.

**Keywords:** Plastics; HTC; Hydrochar; HTC-liquor; Gases; Seawater.

## **1. Introduction**

Marine debris is defined as any persistent solid material that is manufactured or processed and directly or indirectly, intentionally or unintentionally, disposed of or abandoned into the marine environment or in the lakes [1, 2]. This debris favors the transport of organic and inorganic contaminants in the sea [3-7] and they are harmful to organisms and human health [1, 6, 8-10]. In addition, the presence of this debris generates negative socio-economic consequences [11], since they are not aesthetic, which leads to a significant spending of money in cleaning beaches and coasts, and present a danger to fishing and boats.

Since the development of the plastics industry, plastic products are the most abundant around the globe, hence representing 60-80% of the total marine debris [12]. The main reason why plastics are hazardous to the marine environment is their resistance to degradation, usually estimated between hundreds and thousands of years [13]. During this time, chemical contaminants such as polychlorinated biphenyls (PCBs) and dioxins are released into the sea. Furthermore, plastic items are fragmented into small pieces, becoming plastic micro-particles [14], which are very harmful to marine life [15, 16].

The global annual production of plastics is approximately 280 million tons [17, 18], of which, between 4.8 and 12.7 million tons reaches the sea every year [19]. The most commonly polymers found in the marine environment are polyethylene (PE), polyethylene terephthalate (PET), polypropylene (PP) and Nylon [20-22]. They are the most frequently used too.

According with the lifecycle of marine debris, the produced plastic discards are accumulated in the beaches and float or are washed to the seabed by water columns, suffering a fragmentation. The fate of the plastic is then its collection (via more encouraging), its decomposition (that will last hundreds of years) or ingestion by marine organisms [23, 24].

Some plastics cannot be recycled or reused. For this reason, once removed from the sea, one of the process most commonly used for processing this debris is an incineration process. On the other hand, plastic fractions of marine debris also have been landfilled because for years, they were considered waste product with low value; however, today it is known that this waste has a great value [23]. Marine plastic debris has high calorific value. This feature makes them suitable for using as fuel.

These plastics are originally good fuels, but due to contact with sea water, they can contain chlorine and other compounds such as bromine, nitrogen,... which are necessary to eliminate before the combustion process. In this way, a hydrothermal carbonization treatment is the best option to improve the properties of these materials as fuels [25, 26]. In addition, using a process of HTC also has other advantages, since there is greater control over the gases emitted and the working temperatures are relatively low.

Hydrothermal carbonization (HTC) is one of the most hopeful thermochemical treatments for producing solid carbon-rich fuel (hydrochar) and high value-added liquid [27-32]. HTC can be operated at low reaction condition as compared with combustion, pyrolysis, and gasification [33]. During HTC process, materials are upgraded in hot compressed water inducing hydrolysis, aromatization, dehydration, recondensation and

decarboxylation reactions which, giving rise to the formation of high value added products [34].

The final products from HTC are solid hydrochar, liquid fraction and a small amount of gases. Hydrochar can be used for several applications such as fuels, catalyst, carbon sequestration and adsorbents [34-36]. Valuable platform chemicals such as acetic acid, furfural, lactic acid, propionic acid, phenolic compounds, hydroxymethylfurfural, levulinic acid, formic acid and succinic acid are found in the liquid fraction [37-39]. Depending on feedstock type, various HTC product characteristics could be obtained from HTC.

The quality of hydrochar depends on the composition of process liquor, as product formation and overall reaction can be influenced highly by process water quality [40]. Both hydrochar and liquid phase depend heavily on feedstock, residual moisture, quality of feedwater and HTC reaction temperature and reaction time [41-44].

In general, water is used as liquid phase in most HTC processes. But in this work, it has been considered the possibility of treating the material directly collected from the sea (for example, in a HTC reactor located in a boat) and use seawater as a liquid phase, which is quite novel, since no study has been found in which marine water is used as liquid phase in a HTC process.

On the other hand, there are several studies in which HTC of several materials (especially biomass) are studied. However, the lack of detailed knowledge on HTC of marine plastic debris and the issue of marine plastics treatment after collecting from the sea motivated this study. In this way, hydrothermal carbonization (HTC) of a mixture of plastic materials was carried out to examine the characteristics of the final products obtained and to test the feasibility in converting marine plastic debris to fuel.

## **2. Materials and methods**

### **2.1. Sample preparation**

The carbonization of a mixture of the four most common polymers found in the sea (PE, PP, PET and Nylon), was studied. These samples had been submerged in marine water for more than 2 years. The materials were cut to pieces to form the mixture, being the weight proportion of each plastic the same, i.e., 25 wt. % of each one. Seawater was used as the liquid phase of the process.

### **2.2. Experimental**

HTC was conducted in a laboratory scale using a high-pressure batch reactor with stirring. The parameters controlling the process efficiency are temperature, treatment time and solid/liquid ratio (S/L). For the experimental setup, 50 g of the mixture of plastics and 500 mL of seawater were mixed in a 1 L stainless steel liner (S/L equal to 1:10 g/mL). The mixture was heated up to the desired process temperature by a laboratory oven at approximately 3 K·min<sup>-1</sup>, and once this temperature was reached, the sample was maintained in the reactor for 3 h. Experiments at 200, 250 y 300 °C were carried out.

Hydrochar and liquid fraction were separated by vacuum filtration through Whatman filter paper of 1.2 mm pore size. The hydrochar was dried at 105°C for 24 h. Then, it was stored in a plastic container at room temperature, and the liquid fraction was stored in a plastic dark vessel at 4°C for further analysis. Gases were collected using Tedlar® bags (Restek, USA).

### **2.3. Characterization of HTC products**

#### **2.3.1. Hydrochar**

An elemental analysis was performed to each sample (original mixture and hydrochars obtained at 200 °C, 250 °C and 300 °C), and their carbon (C), hydrogen (H), nitrogen (N) and sulfur (S) content were determined using a Perkin-Elmer 2400 (Perkin-Elmer, UK). In all cases, the initial weigh of the sample was 50 mg, and sulfamethazine was employed as internal standard. The humidity of the samples was also measured [45]. In addition, the Net Calorific Value (NCV) was determined using a calorimetric bomb AC-350 Leco Instruments, and the inorganic anions content of each sample was measured by ionic chromatography following EPA methods 5050 and 9056 [46, 47].

### **2.3.2. Decomposition curves of hydrochar**

Thermal stability of samples was analyzed by thermogravimetric analyzer. Runs for the TG analysis were carried out on a Mettler Toledo TGA/SDTA851e/SF/1100 Thermal Gravimetric Analyzer. The decomposition temperatures were measured under dynamic conditions in a mixture nitrogen:oxygen = 4:1 (20% oxygen, simulating air composition) with a total flow rate of 100 mL·min<sup>-1</sup>. The samples were heated in the temperature range from room temperature to 950 °C, at heating rates of 5, 10 and 20 °C·min<sup>-1</sup>. For all runs, 4 ± 0.3 mg of sample were used.

### **2.3.3. HTC-liquor**

In order to characterize the liquid fraction after HTC process, the inorganic and organic compounds were analyzed. The inorganic anions content of each sample was measured by ionic chromatography following EPA method 9056 [47] and the organic compounds in the HTC-liquor were determined using chromatography C18 columns (VARIAN Bond Elut C18) and methanol as solvent.

In practice, 10 mL of HTC-liquor samples were first passed through the C18 column. Then, 10 mL of methanol was passed, being each sample collected for subsequent analysis by GC-MS. The analysis of the concentrated extractives was performed in a GC-MS (Agilent GC 6890N/Agilent MS 5973N, Agilent Technologies, USA) with a HP-5 MS capillary column (Agilent Technologies, USA) in splitless mode. The SCAN mode ranging from 35 to 450 amu was selected to detect all the possible organic compounds.

#### **2.3.4. Gases and volatile compounds**

Gases and volatile compounds were collected in Tedlar® bags (Restek, USA) at the end of the experiments. Carbon dioxide, carbon monoxide, oxygen, nitrogen and hydrogen were analyzed by gas chromatography with thermal conductivity detector (GC-TCD) (Agilent 7820). Light hydrocarbons were analyzed by gas chromatography with flame ionization detector (GC-FID) (Shimadzu GC-17A). Other non-condensable products were analyzed using an Agilent 5973N mass spectrometer coupled to an Agilent 6890N gas chromatograph (HRGC-MS) with a capillary DB-624 column, working in the SCAN mode.

### **3. Results and discussion**

#### **3.1. Characterization of solid hydrochar**

Fig. 1 shows the original mixture and the mixture (ground sample) after HTC process at 200 °C, 250 °C and 300 °C. After this treatment, the solid obtained is more fragile and easier to grind. Also, the color of the sample is darker as the process temperature increases, which is logical, since the sample is more carbonized.

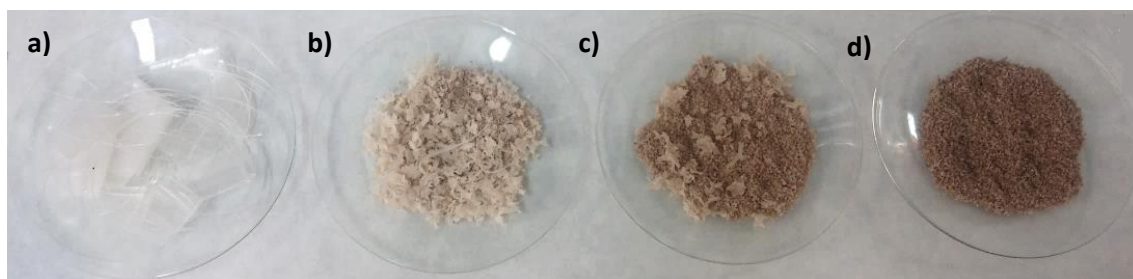


Fig. 1. Original sample and ground sample after HTC process. a) Original mixture; b) 200 °C; c) 250 °C; d) 300 °C.

The results for elemental analysis of the mixture of plastics before and after the hydrothermal carbonization with varying process temperature are illustrated in Table 1. This table also shows the humidity, the ash and inorganic anions content, the Net Calorific Value (NCV) and the yield and the energy yield of each sample.

Analysis shows that an increase in process temperature from 200 °C to 300°C increased the carbon content of hydrochar whereas oxygen content decreased. This was reflected by an increase in the NCV from 38.32 to 39.08 MJ/kg. An increase in process temperature also increases the hydrogen content in the solid residue because of side reactions (substitution reaction) [26].

The inorganic anions content was also affected by the process, being the fluorine, chlorine and bromine content lower in the treated material than in the original material. These results are similar to those obtained by other author [26]. In that work, a similar treatment was used to dechlorinate PVC.



Table 1. Analysis of the original and HTC solid (wt.% in all cases, except NCV).

	Original	HTC 200 °C	HTC 250 °C	HTC 300 °C
Immediate analysis				
<b>Moisture</b>	2.00	24.5	22.9	28.7
<b>Ash</b>	5.84	6.95	6.20	5.27
<b>NCV (MJ/kg)</b>	35.6	38.3	38.9	39.1
<b>Yield</b>	-	66.7	40.1	37.4
<b>Energy yield</b>	-	71.8	43.7	41.1
Elemental analysis				
<b>C</b>	77.4	79.0	80.1	80.9
<b>H</b>	12.6	11.9	12.6	13.0
<b>N</b>	2.99	0.60	n.d.	n.d.
<b>S</b>	n.d.	n.d.	n.d.	n.d.
<b>O</b>	1.22	1.45	1.14	0.77
Ionic chromatography				
<b>Fluorine (x 10<sup>-3</sup>)</b>	5.34	2.86	1.53	1.07
<b>Chlorine (x 10<sup>-1</sup>)</b>	4.59	3.17	3.42	4.13
<b>Bromine (x 10<sup>-4</sup>)</b>	11.2	0.97	0.81	0.81

\*n.d. = not detected

A useful way to describe the fuel characteristics of any solid fuel through elemental concentration is by means of a van-Krevelen diagram. In a typical van-Krevelen diagram, the ratio of atomic hydrogen and carbon is plotted against the ratio of atomic oxygen and carbon, representing the transition from the biomass to anthracite coal. Such diagram is presented in Fig. 2 for the different HTC-hydrochars produced in this study. Fig. 2 shows that in all cases, the ratio H/C to the treated samples falls against to that corresponding to the Original mixture. In addition, the samples are near to the fuel oil, which implies that it is a good fuel.

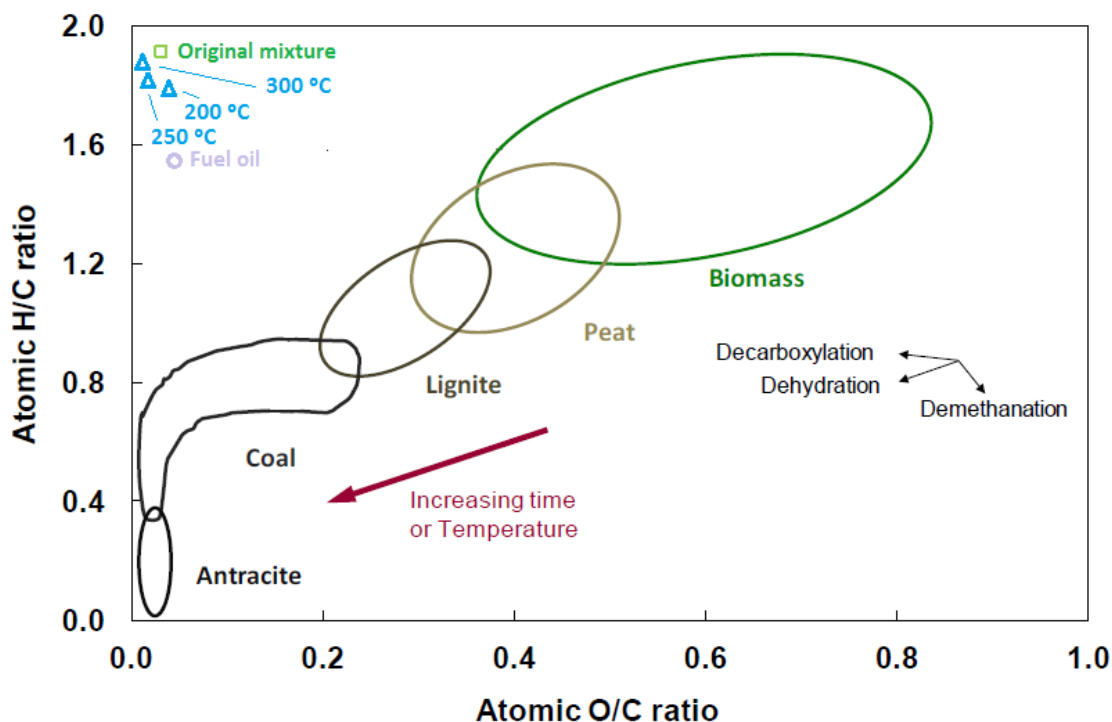


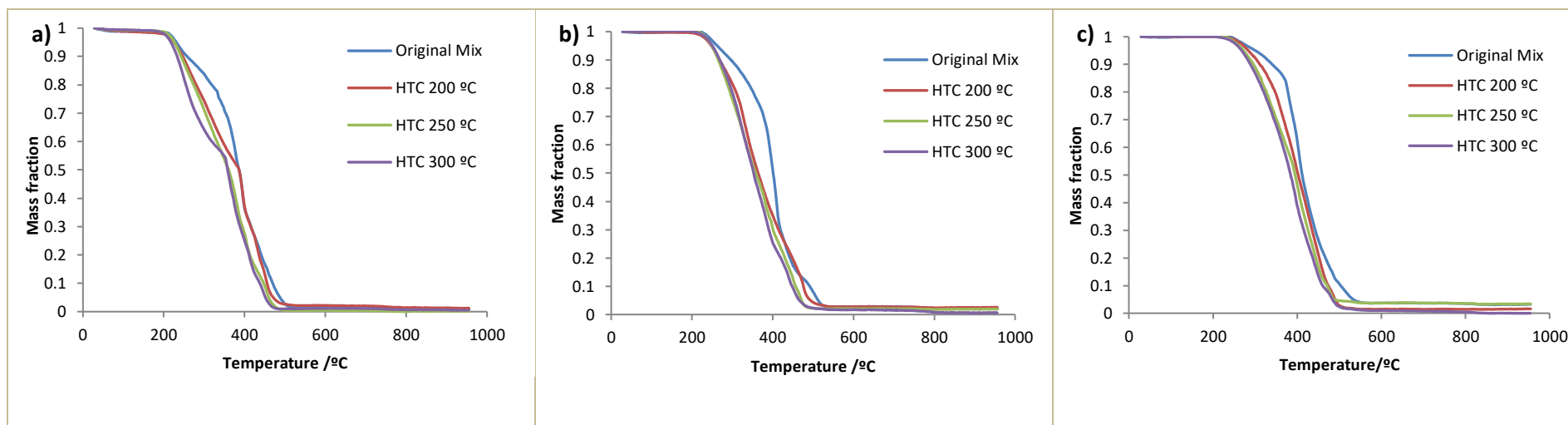
Fig. 2. Van Krevelen diagram showing the calification degree achieved in HTC.

From these results, it can be concluded that HTC improves the properties of plastic mixture as an energy raw material, since it enhances the carbon content and its heating value.

### 3.2. Thermal decomposition analysis of hydrochar

Evolution of the thermal behavior of the Original Mix (before HTC process) and the solid residues (after HTC process) was followed by thermogravimetry. Samples were subjected to decomposition in the thermobalance at 5, 10 and 20 °C·min<sup>-1</sup> and were finally compared. As mentioned before, a mixture nitrogen:oxygen = 4:1 was used as carrier gases to test for the behavior of the samples in combustion conditions. Measures were duplicated to test for the reproducibility that was very good.

Fig. 3 shows the decomposition curves obtained for the different solid samples at the different heating rates.



199 Fig. 3. Decomposition curves of hydrochar in combustion conditions. a)  $5\text{ }^{\circ}\text{C}\cdot\text{min}^{-1}$ ; b)  $10\text{ }^{\circ}\text{C}\cdot\text{min}^{-1}$ ; c)  $20\text{ }^{\circ}\text{C}\cdot\text{min}^{-1}$ .

Fig. A.1-A.4 (Appendix A) show a comparison of the curves obtained at  $5\text{ }^{\circ}\text{C}\cdot\text{min}^{-1}$ ,  $10\text{ }^{\circ}\text{C}\cdot\text{min}^{-1}$  and  $20\text{ }^{\circ}\text{C}\cdot\text{min}^{-1}$  of each material in the atmosphere studied.

As can be seen (Figur. A.1-A.4), when the heating rate increases the mass loss curves moving to the right (higher temperatures). This is an expected behavior taking into account previous works [48-50] in which, a similar behavior was observed for different materials.

Fig. 3 shows important differences in the decomposition of the HTC residues vs. original mix. It is remarkable that the material obtained at  $200\text{ }^{\circ}\text{C}$  already shows significant differences with respect to the original sample, but in general, solid residue obtained at  $300^{\circ}\text{C}$  presents a greater degradation than the others. In this way, the temperature at which the decomposition rate is maximum decreases in all solid residue obtained after HTC process with respect to the original material, at all heating rates.

### **3.3. Characterization of HTC process liquid**

Table 2 shows the inorganic compounds present at the HTC liquid residue which were analyzed by ionic chromatography. Chloride was the most abundant compound found in the HTC-liquor. Note that PVC was not among the plastics used in the study. Nevertheless, chloride abundance is expected since we used seawater for the process. The content of fluoride, bromide and sulfate increased as the process temperature grows, indicating a possible elimination from the plastic materials. The amounts of the rest of the compounds found decrease with the treatment.

Table 2. Inorganic compounds identified in the HTC-liquors generated at 200 °C, 250 °C and 300 °C.

mg/L							
Sample	Fluoride	Chloride	Nitrite	Bromide	Nitrate	Phosphate	Sulfate
HTC 200 °C	0.40	27808	nd	5.72	7.08	7.28	169
HTC 250 °C	0.48	27035	nd	6.16	6.48	5.96	254
HTC 300 °C	0.52	24618	nd	9.16	3.96	3.00	241

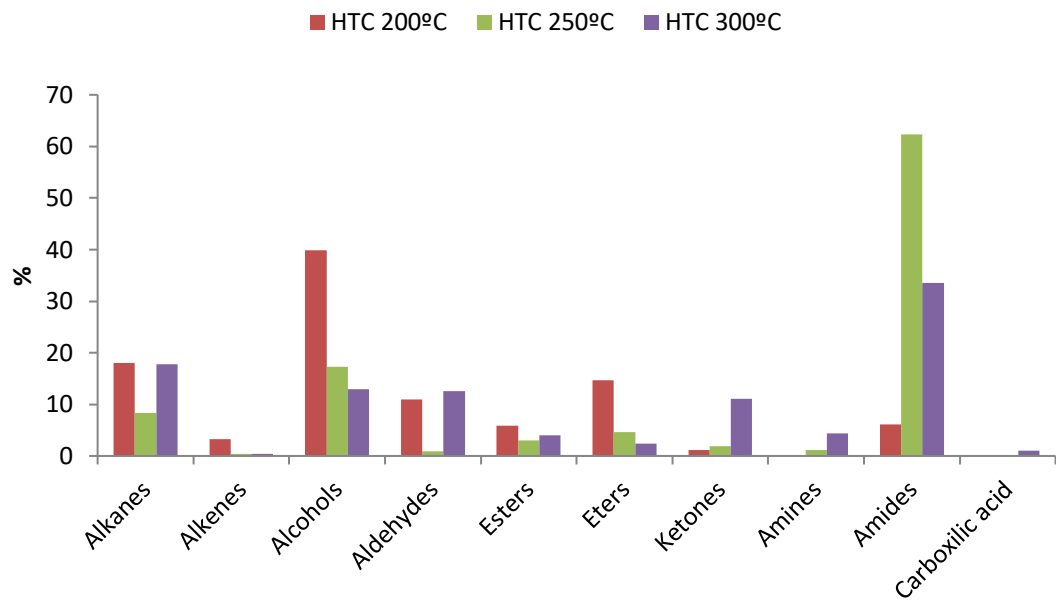
n.d = not detected

To find out all the organic compounds that constituted the liquid phase generated in HTC, HTC-liquor samples obtained at 200 °C, 250 °C and 300 °C were fractionated with methanol, and each fraction was analyzed through GC-MS in SCAN mode. Since a relatively high number of species was expected, which were not even known in advance, detected compounds were only identified using NIST databases (NIST MS Library Version 2.0 d, December 2005). However, no quantification of detected compounds was carried out.

Table A.1 (Appendix A) lists the organic compounds identified in the HTC-liquors by GC-MS. It must be remembered that peak areas only allow estimating which would be the major compounds but they are not a direct measurement of the concentration since this depends on the response factor of each substance.

Compounds found in the HTC-liquor were grouped by chemical family to estimate the major kind substances. Results, expressed as percentage of total area, are plotted in Fig. 4. As can be seen, distribution profiles of the HTC-liquor produced from HTC 200 °C, HTC 250 °C and HTC 300 °C follows different trends. Amides, alcohols and alkanes contribute the most to the total areas, being caprolactam, 4-Methylbenzaldehyde,

238 benzenesulfonamide, n-butyl- and decane the most noticeable compounds in terms of  
239 areas.



240  
241 Fig. 4. Distribution profiles of the major chemical groups found in the HTC-liquors  
242 produced at 200 °C, 250°C and 300 °C.

243 In agreement with other work in which the combustion of PE was studied, it is  
244 considered that alkanes come mostly from PE [51]. Due to its chemical structure,  
245 amides could come mainly from Nylon and probably, alcohols, aldehydes, esters, ethers  
246 and ketones are generated mostly due to PET.

247 **3.4. Gases and volatile compounds**

248 As mentioned above, HTC of a mixture of plastic was carried out at 200 °C, 250 °C and  
249 300 °C. Table A.2 (Appendix A) shows the yield (mg/kg sample) of the gases emitted  
250 during this process. The main gas emitted was nitrogen, presumably from the air filling  
251 the reactor before the runs. In all cases, a low value for the emission of carbon  
252 monoxide (CO) was observed. However, the production of CO and CO<sub>2</sub> increased with

temperature. This may be consequence of the thermal degradation of heavier compounds [52].

In agreement with other published work [53] in which combustion experiments of the same plastics were performed, the main light hydrocarbons found were isobutene and methane in all experiments. Additionally, aromatic compounds such as benzene and xylenes were also detected. Moreover, the most abundant non-condensable products detected were acetaldehyde and 2-methyl-1-propene.

The yield of the light hydrocarbons and other non-condensable products increased when increasing the temperature from 200 °C to 300 °C, which indicate that reactions leading to organic compounds are favored at higher temperatures [54]. On the other hand, a greater number of compounds were generated at 300 °C.

In terms of total emissions of gas, the higher emissions were produced in the treatment at 300 °C, reaching a value of 822 mg/kg sample (considering high hydrocarbons and other non-condensable products). This value was much higher than those obtained in the processes at 200 °C and 250 °C (116 mg/kg sample and 152 mg/kg sample, respectively).

To see the evolution of the compounds emitted with the temperature, Fig. 5 has been included. In this Figure, ten of the majority chemical compounds generated during this process have been represented. Thereby, it can see that the emissions of the almost all compounds increased with temperature, being clearly higher in the process at 300 °C.

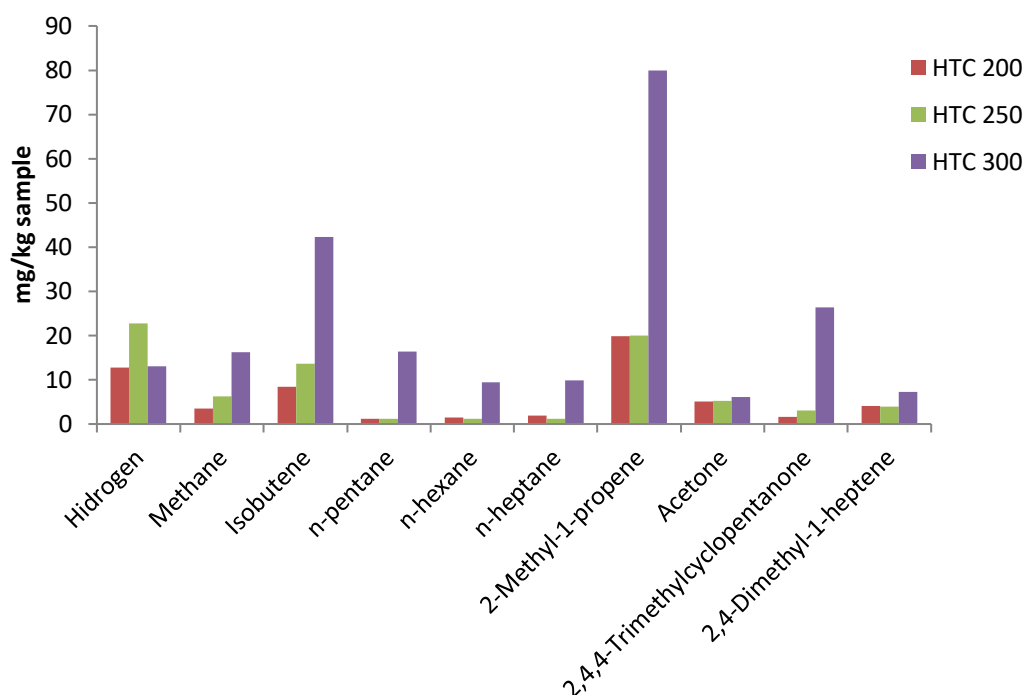


Fig. 5. Distribution profiles of ten of the major chemical compounds found in HTC gases produced at 200 °C, 250 °C and 300 °C.

## 4. Conclusions

In this work, hydrothermal carbonization (HTC) of a mixture of the four plastics most frequently found in the sea (PE, PP, PET and Nylon) was performed at three different temperatures (200 °C, 250 °C and 300 °C) to examine the characteristics of the final products obtained and to test the feasibility in converting marine plastic debris to fuel.

With respect to the hydrochar, the inorganic anions content in the solid residue was affected by the process, being lower after the treatment. On the other hand, the nitrogen content in the hydrochar also decreases, it is important to avoid the formation of nitrogen oxides (NO<sub>x</sub>) during the subsequent combustion process. In addition, the NCV increases, reaching a maximum value of 39.08 MJ/kg in the solid at 300 °C.



Evolution of the thermal behavior of the Original Mix (before HTC process) and the solid residue (after HTC process) was followed by thermogravimetry at different heating rates. The material prepared at 200 °C shows small differences respect to the original mix, and solid residue obtained at 300°C presented a greater degradation.

Regarding the HTC liquid residue, chloride was the most abundant compound founds in the HTC-liquor. The content of fluoride, bromide and sulfate increase as the process temperature grows. This is expected since during the HTC, the inorganic anions of the solid material pass into the liquid. On the other hand, the organic compounds were also identified, being amides, alcohols and alkanes the major compounds in all waters. This liquid could be used again in another HTC process.

Additionally, the gases emissions during the process were analyzed. Low emissions of CO were founded and the main gas emitted was nitrogen. Taking into account the high hydrocarbons and other non-condensable products, the higher emissions were detected during the treatment at 300 °C, reaching a value of 822 mg/kg sample. This gas would not be a problem because it could be subsequently burned.

Taking into account the results, it can be said that from 250 °C the HTC treatment to this mixture of marine plastic debris would be effective.

More investigations on the HTC of marine debris are required. However, the results show that this process could be a good option to remove plastics to the sea (depending on the degradation they present, most of these plastics cannot be recycled) and use them as fuel, since the solid material obtained has good properties as a combustible and the emissions during the process are low. We would be contributing to improve the environment.

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